

Governance, Audit and Risk Management Committee **AGENDA**

DATE: Thursday 19 December 2013

TIME: 7.30 pm

VENUE: Committee Room 5,
Harrow Civic Centre

MEMBERSHIP (Quorum 3)

Chairman: Councillor Richard Romain

Councillors:

Amir Moshenson
Chris Mote

Kairul Kareema Marikar
Varsha Parmar
Sachin Shah (VC)

Mano Dharmarajah

Reserve Members:

1. Tony Ferrari
2. Kam Chana
3. Anthony Seymour

1. Graham Henson
2. Sue Anderson
3. Ajay Maru

1. Thaya Idaikkadar

Contact: Una Sullivan, Democratic & Electoral Services Officer
Tel: 020 8424 1785 E-mail: una.sullivan@harrow.gov.uk

AGENDA - PART I

1. ATTENDANCE BY RESERVE MEMBERS

To note the attendance at this meeting of any duly appointed Reserve Members.

Reserve Members may attend meetings:-

- (i) to take the place of an ordinary Member for whom they are a reserve;
- (ii) where the ordinary Member will be absent for the whole of the meeting; and
- (iii) the meeting notes at the start of the meeting at the item 'Reserves' that the Reserve Member is or will be attending as a reserve;
- (iv) if a Reserve Member whose intention to attend has been noted arrives after the commencement of the meeting, then that Reserve Member can only act as a Member from the start of the next item of business on the agenda after his/her arrival.

2. DECLARATIONS OF INTEREST

To receive declarations of disclosable pecuniary or non pecuniary interests, arising from business to be transacted at this meeting, from:

- (a) all Members of the Committee;
- (b) all other Members present.

3. MINUTES (Pages 1 - 8)

That the minutes of the meeting held on 24 September 2013 be taken as read and signed as a correct record.

4. PUBLIC QUESTIONS

To receive questions (if any) from local residents/organisations under the provisions of Committee Procedure Rule 17 (Part 4B of the Constitution).

5. PETITIONS

To receive petitions (if any) submitted by members of the public/Councillors under the provisions of Committee Procedure Rule 15 (Part 4B of the Constitution).

6. DEPUTATIONS

To receive deputations (if any) under the provisions of Committee Procedure Rule 16 (Part 4B) of the Constitution.

7. REFERENCES FROM COUNCIL AND OTHER COMMITTEES/PANELS

To receive references from Council and any other Committees or Panels (if any).

8. INFORMATION REPORT - GOVERNANCE UPDATE (Pages 9 - 14)

Report of the Director of Finance and Assurance

9. INFORMATION REPORT - INTERNAL AUDIT MID-YEAR REPORT 2013/14 (Pages 15 - 42)

Report of the Director of Finance and Assurance

10. CORPORATE ANTI-FRAUD MID-YEAR REPORT 2013-14 (Pages 43 - 50)

Report of the Director of Finance and Assurance

11. INFORMATION REPORT - HALF YEARLY HEALTH AND SAFETY REPORT (Pages 51 - 68)

Report of the Corporate Director of Resources

12. TREASURY MANAGEMENT SELF ASSESSMENT TRAINING ACTION PLAN (Pages 69 - 98)

Report of the Director of Finance and Assurance

13. ANY OTHER URGENT BUSINESS

Which cannot otherwise be dealt with.

14. EXCLUSION OF THE PRESS AND PUBLIC

To resolve that the press and public be excluded from the meeting for the following item of business, on the grounds that it involves the likely disclosure of confidential information in breach of an obligation of confidence, or of exempt information as defined in Part I of Schedule 12A to the Local Government Act 1972:

<u>Agenda Item No</u>	<u>Title</u>	<u>Description of Exempt Information</u>
15.	Treasury Management Self Assessment Training Action Plan - Appendix 5	Information under paragraph 3 (contains information relating to the financial or business affairs of any particular person, including the Authority holding that information).

AGENDA - PART II

15. TREASURY MANAGEMENT SELF ASSESSMENT TRAINING ACTION PLAN - APPENDIX 5 (Pages 99 - 106)

Report of the Director of Finance and Assurance